EXETER CITY COUNCIL

AUDIT AND GOVERNANCE COMMITTEE
27 NOVEMBER 2013

CORPORATE RISK REGISTER

1.   PURPOSE OF THE REPORT

1.1   To advise the Committee of the Council's risk management progress and present the updated Corporate Risk Register.

2.   BACKGROUND

2.1   The Risk Management Policy makes this Committee responsible for:
- approving the Corporate Risk Register
- monitoring the effectiveness of risk management throughout the Council

2.2   The Corporate Risk Register provides details of those risks which could have a major impact on the city or the Council and need to be monitored and managed at a senior management/political level. Risks which could have an impact on a service, but which would not necessarily impact on a council-wide or city basis, are contained in the operational risk register and managed by Assistant Directors and Managers.

3.   CORPORATE RISK REGISTER

3.1   Assistant Directors, Corporate Managers and the Deputy Chief Executive have reviewed the Council's risks. Due to the short timescale since the last review of the risk register, most of the risks have stayed the same. Any changes are detailed below:

New risks

One new risk has been added to the Corporate Risk Register (13/028) relating to property management. It has become apparent that there is a skills shortage across the Council in terms of effective property management (electrical maintenance, asbestos monitoring, legionella monitoring etc) and that this issue needs to be addressed at a corporate level.

Changes to risk scores

One risk has increased in score since the last reporting period. This related to the overspend of the voids repair budget – the likelihood score has increased to 4 (very likely). Options are being considered to ensure that this risk is mitigated in the future and an update report will be provided at the next Audit and Governance Committee.

3.2   Post mitigated scores have been included where appropriate.

4. RISK MANAGEMENT PROGRESS

4.1 Since the last reporting period, work has been undertaken to prepare an Operational Risk Register. Some services have already produced Operational Risk Registers so these have been consolidated into one register. Additional operational risks have been identified through the performance reports which are reported to SMT and through having individual meetings with Assistant Directors and Managers.

4.2 During the next reporting period and thereafter, Internal Audit will undertake an audit of operational risks as selected by this Committee. The findings of the audit will be reported to the subsequent Audit and Governance Committee.

6. RECOMMENDATIONS

That the Audit and Governance Committee review the updated Corporate Risk Register.

CORPORATE MANAGER POLICY, COMMUNICATIONS AND COMMUNITY ENGAGEMENT

Local Government (Access to Information) Act 1972 (as amended)
Background papers used in compiling this report:-

None